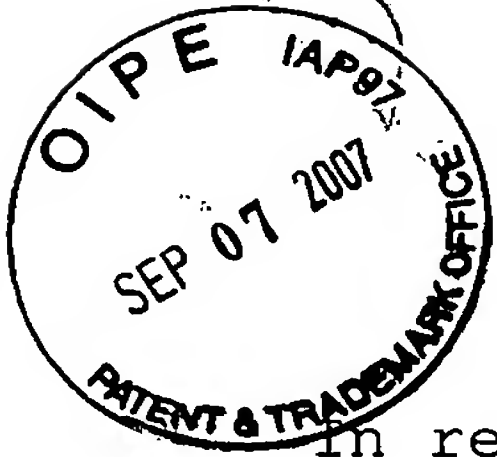


ITW



PATENT  
2007-1006-1

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Robertus Mattheus Flix VAN DER WEIDEN Conf. 7719

Application No. 10/586,646 Group 3761

Filed July 19, 2006 Examiner

ASSEMBLY AND METHOD FOR ATTACHING PARTICULARLY A VAGINA TO A  
SPINE

**REQUEST FOR OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

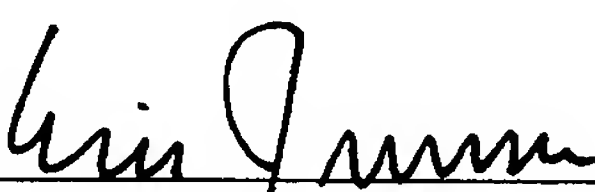
September 7, 2007

Sir:

We enclose herewith copies of the Transmittal Letter and Application Data Sheet of the above-identified application, filed on July 19, 2006, as evidenced by the accompanying postcard receipt. We ask that the Official Filing Receipt be recorded and returned to us in due course.

Respectfully submitted,

YOUNG & THOMPSON

  
Eric Jensen, Reg. No. 37,855  
745 South 23<sup>rd</sup> Street  
Arlington, VA 22202  
Telephone (703) 521-2297  
Telefax (703) 685-0573  
(703) 979-4709

EJ/kmo

**ATTACHED IS A NEW NATIONAL PHASE APPLICATION OF**INVENTOR(S): Robertus Mattheus Flix VAN DER WEIDENTITLE: ASSEMBLY AND METHOD FOR ATTACHING PARTICULARLY A VAGINA TO A SPINEFILING DATE: July 19, 2006CONSISTING OF: ☒ Transmittal Letter (PTO-1390) ☐ SMALL ENTITY CLAIM☒ Published Application (English) ☐ English Language Translation**38 Total pages** consisting of **18** Pages of Description, **8** Pages of Claims**11** Sheets Of Drawings and **1** Page(s) Abstract☒ Application Data Sheet☒ Preliminary Amendment☒ Executed declaration (1)☐ Paper Sequence Listing☐ Not. of Sub. of Sequence Listing☐ International Preliminary Examination Report (PCT/IPEA/409)☐ Amended sheets w/IPER☒ Info. Disclosure Statement w/1449☒ 3 Cited References☐ PCT/IB/308☐ Coversheet of Publication☒ Exec. Assignment w/PTO-1595☐ Computer readable Seq. List. (diskette)☐ Article 19 Amendments☐ Confirmation of \$1.78 Submission☒ International Search Report☒ Check # **35558** for **\$3,740.00**

Other: \_\_\_\_\_

USPTO - PLEASE STAMP RECEIPT DATE AND APPLICATION NUMBER

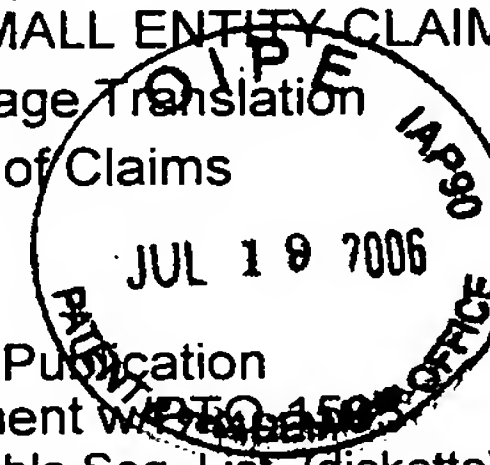
Actual due date: 7/20/2006**IAP15 Rec'd PCT/PTO 19 JUL 2006** BC/kmo**ATTACHED IS A NEW NATIONAL PHASE APPLICATION OF**INVENTOR(S): Robertus Mattheus Flix VAN DER WEIDENTITLE: ASSEMBLY AND METHOD FOR ATTACHING PARTICULARLY A VAGINA TO A SPINEFILING DATE: July 19, 2006CONSISTING OF: ☒ Transmittal Letter (PTO-1390) ☐ SMALL ENTITY CLAIM☒ Published Application (English) ☐ English Language Translation**38 Total pages** consisting of **18** Pages of Description, **8** Pages of Claims**11** Sheets Of Drawings and **1** Page(s) Abstract☒ Application Data Sheet☒ Preliminary Amendment☒ Executed declaration (1)☐ Paper Sequence Listing☐ Not. of Sub. of Sequence Listing☐ International Preliminary Examination Report (PCT/IPEA/409)☐ Amended sheets w/IPER☒ Info. Disclosure Statement w/1449☒ 3 Cited References☐ PCT/IB/308☐ Coversheet of Publication☒ Exec. Assignment w/PTO-1595☐ Computer readable Seq. List. (diskette)☐ Article 19 Amendments☐ Confirmation of \$1.78 Submission☒ International Search Report☒ Check # **35558** for **\$3,740.00**

Other: \_\_\_\_\_

USPTO - PLEASE STAMP RECEIPT DATE AND APPLICATION NUMBER

Actual due date: 7/20/2006

BC/kmo



TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Att. Docket No. <b>2007-1006-1</b> U.S. Application No.
INTERNATIONAL APPLN. NO. <b>PCT/NL2005/000037</b>	INTERNATIONAL FILING DATE <b>January 19, 2005</b>	PRIORITY DATE CLAIMED <b>January 20, 2004</b>
TITLE OF INVENTION: <b>ASSEMBLY AND METHOD FOR ATTACHING PARTICULARLY A VAGINA TO A SPINE</b>		
APPLICANT(S) FOR DO/EO/US: <b>Robertus Mattheus Felix VAN DER WEIDEN</b>		
Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau. See attached PCT/IB/308.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))</li> <li>10. <input type="checkbox"/> A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv). ))</li> <li>11. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 12 to 23 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) w/PTO-1449 - <input checked="" type="checkbox"/> Copy of IDS citations. - <input checked="" type="checkbox"/> PCT/ISA/210 International Search Report included</li> <li>13. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s)).</li> <li>14. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>15. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76.</li> <li>16. <input checked="" type="checkbox"/> Itemized Return Receipt Postcard</li> <li>17. <input checked="" type="checkbox"/> Abstract</li> <li>18. <input type="checkbox"/> A substitute specification.</li> <li>19. <input type="checkbox"/> Power of Attorney and Statement under 37 CFR §3.73(b)           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed Power of Attorney</li> <li>b. <input type="checkbox"/> A change of Power of Attorney and/or change of address letter.</li> </ol> </li> <li>20. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>21. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>22. <input type="checkbox"/> A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).</li> <li>23. <input type="checkbox"/> Other items or information: <u><b>Please note that the Declaration of Inventorship for purposes of the U.S.A. designation pursuant to rule 4.17 (iv) was filed in the International Phase, see the published application.</b></u></li> </ol>		

U.S. APPLICATION NO.		INTERNATIONAL APPLN. NO. PCT/NL2005/000037		ATTORNEY DOCKET NO. 2007-1006-1	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input checked="" type="checkbox"/> The following fees are submitted:  <p style="text-align: center;"><b>PCT FEES - NATIONAL STAGE</b></p>				<b>CALCULATIONS PTO USE ONLY</b>	
<u>Fee Description</u>					
Basic National Stage Fee				\$300.00	
Non ISA/US Search Provided      National Stage Search Fee				\$400.00	
<input type="checkbox"/> US was the IPEA And all claims satisfied the provisions of PCT Article 33 (1)-(4)      National Stage Examination Fee				\$200.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (Fee Code 1617/2617)				\$0.00	
National Stage Application size fee each additional 50 sheets in excess of 100  Fee Code 1681/2681	<b>Additional Sheets</b> - 100 =      =      X <div style="border-top: 1px solid black; width: 100px; margin: 0 auto;"></div> 50		Fee From Below <b>\$125.00</b>	\$0.00	
<b>CLAIMS</b>		NUMBER FILED	NUMBER EXTRA	RATE	
Independent Claims Fee Codes 1614 / 2614		7 - 3 =	4	x \$200.00	\$800.00
Total Claims Fee Codes 1615 / 2615		60 - 20 =	40	x \$50.00	\$2,000.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616				+ \$360.00	\$0.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). Fee Code 1618				\$0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) <div style="text-align: right;">\$40.00 per property      +</div>				\$40.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$3,740.00</b>	
				Amount to be refunded:	\$
				Charged:	\$
<input checked="" type="checkbox"/> A check in the amount of <b>\$3,740.00</b> to cover the above fees is attached.  <input type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed.  <input checked="" type="checkbox"/> The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.					
SEND ALL CORRESPONDENCE TO: YOUNG & THOMPSON 745 South 23 <sup>rd</sup> Street Arlington, VA 22202 Telephone: (703) 521-2297 Facsimile: (703) 685-0573  Y&T Customer No. <b>00466</b> BC/ <u>kmo</u>			<div style="border-top: 1px solid black; margin-bottom: 10px;">SIGNATURE</div> <div style="border-top: 1px solid black; margin-bottom: 10px;">Benoit Castel, Reg. No. 35,041</div> <div style="border-top: 1px solid black; margin-bottom: 10px;">NAME, REGISTRATION NUMBER</div> <div style="border-top: 1px solid black; margin-bottom: 10px;">July 19, 2006</div> <div style="border-top: 1px solid black;">DATE</div>		



Application Data Sheet

**Application Information**

Application Type:: National Stage  
Subject Matter:: Utility  
Suggested Classification::  
Suggested Group Art Unit::  
CD-ROM or CD-R?:: None  
Number of CD disks::  
Number of Copies of CDs::  
Sequence Submission?:: None  
Computer Readable Form (CRF):: No  
Number of copies of CRF:: 0  
Title:: ASSEMBLY AND METHOD FOR  
ATTACHING PARTICULARLY A VAGINA  
TO A SPINE  
Attorney Docket Number:: 2007-1006-1  
Request for Early Publication?:: No  
Request for Non-Publication?:: No  
Suggested Drawing Figure::  
Total Drawing Sheets:: 11  
Small Entity?:: No  
Latin Name::  
Variety Denomination Name::  
Petition Included?:: No  
Petition Type::  
Licensed US Gov't Agency::  
Contract or Grant Numbers::  
Secrecy Order in Parent No  
Appl.?::

**Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: THE NETHERLANDS  
Status:: Full Capacity  
Given Name:: ROBERTUS  
Middle Name:: MATTHEUS FELIX  
Family Name:: VAN DER WEIDEN  
Name Suffix::  
City of Residence:: CAPELLE AAN DEN IJSSEL  
State or Province of  
Residence::  
Country of Residence:: THE NETHERLANDS  
Street of Mailing DORPSSTRAAT 168  
Address::  
City of Mailing Address:: CAPELLE AAN DEN IJSSEL  
State or Province of Mailing Address::  
Country of Mailing Address:: THE NETHERLANDS  
Postal or Zip Code of Mailing Address:: 2903

**Correspondence Information**

Correspondence Customer 00466  
Number::

**Representative Information**

Representative Customer	00466
Number::	

**Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	National Stage of	PCT/NL2005/000037	1/19/05
PCT/NL2005/00003 7	An application claiming the benefit under 35 USC 119	60/537,027	1/20/04

**Foreign Priority Information**

Country::	Application Number::	Filing Date::	Priority Claimed::
THE NETHERLANDS	1026909	8/25/04	Yes

**Assignment Information**

Assignee Name:: KARL STORZ GMBH & CO. KG.

Street of Mailing MITTELSTRASSE 8

Address::

City of Mailing Address:: TUTTLINGEN

State or Province of Mailing Address::

Country of Mailing Address:: GERMANY

Postal or Zip Code of Mailing Address:: 78532